

## 2019-20 Project Charter

<b>PROJECT NAME:</b>	Advance open access scholarship
<b>PROJECT MANAGER:</b>	Jo Anne Newyear-Ramirez
<b>TEAM MEMBERS:</b>	Jeff MacKie-Mason, Rachael Samberg, Tim Vollmer, Michael Lange. Collaborate with AULs and staff from across different units.
<b>PROJECT SPONSOR:</b>	Jo Anne Newyear-Ramirez

### **PURPOSE & GOALS**

*Describe the current challenges and opportunities that the project addresses. What core issue will be addressed by the project? What goals will be achieved by the project? What benefits will the project deliver and which constituencies will receive these benefits?*

The Library undertakes a variety of strategic efforts to help shift the publishing, intellectual property, and information policy landscapes in ways that promote research dissemination and open access. These efforts are essential to advance open scholarship objectives that (1) further the University of California’s research mission of “providing long-term societal benefits through transmitting advanced knowledge,” and (2) improve scholars’ ability to access resources.

In 2019-2020, the Library will:

1. Develop, steer, and implement UC-wide or campus transformative open access agreements, including by serving on, providing leadership for, and/or advising and supporting the Project Transform Working Group, its Transformative Agreement Implementation Team and its Alternative Access team.
2. Establish criteria, policies, and processes to advance open access investment decision-making — including by developing criteria to guide Library investment in sustainable open access publishing.
3. Increase opportunities for open access to digitized Library collections by developing and implementing risk assessment principles and practices that reduce barriers to use.

**ALIGNMENTS WITH STRATEGIC GOALS**

*Describe how the project aligns with the strategic priorities of the Library.*

Our efforts will advance Strategic Direction 1 (improve how scholars access resources) and two of its strategies:

- Optimizing the usability and impact of scholarly resources [by strategically investing in open access publishing and making scholarly resources overall more open, discoverable, and accessible]
- Creating and preserving digital collections that expand use of our historical and modern materials [by facilitating digitization through implementation of risk assessment principles and practices that reduce barriers]

They will also serve Strategic Direction 2 (help develop emerging areas of scholarship) and two of its key strategies:

- Creating services for emerging areas of research [by facilitating the digitization of our collections in a risk-informed manner to enable new methodologies like text data mining]
- Championing and transforming the practices of scholarly communication to increase access, reduce cost, and improve dissemination of Berkeley scholarship [through strategic investment in supporting open access publishing]

**2019-20 PROJECT SCOPE**

*(Describe the scope of the project during 2019-2020. The scope defines the boundaries where the project begins and ends. The scope describes what will be delivered, including where, when, and how. It describes the services, functions, systems, solutions, or tangible products to be created during the course of the project, as well as the business process impacts.*

Re: (1) Develop, steer, and implement UC-wide or campus transformative open access agreements

- The UC Berkeley Library will participate in the negotiations and implementation of a set of transformative open access agreements with scholarly journal publishers and co-develop the necessary publishing workflow infrastructure to support UC's multi-payer model. In addition, the Library will work with the system to implement shared licensed content strategies that address both rising licensed content subscription costs and UC's goal to achieve a truly open scholarly communication system.

Re: (2) Establish criteria, policies, and processes to advance open access investment decision making

- The criteria for open access investment will be oriented to address the Berkeley campus's specific needs and priorities. Taking into consideration systemwide and campus-level transformative open access agreements, the Collections Working Group will be established to provide deliverables on three specific objectives for the UC Berkeley Library: Identify criteria and best practices for both one-time and ongoing open access funding; create process for

discovery and referral of potential OA investment opportunities; and develop decision-making protocol for funding OA resources.

Re: (3) Increase opportunities for open access to digitized Library collections

- The UC Berkeley Library’s Digital Lifecycle Program (DLP) is intended to support digitization of collections stewarded by the Library and improve the Library’s management of digital assets. A risk assessment framework to support decision making related to digitizing and providing access to Library-hosted digital collections (or digital collections that are hosted by agents on the Library’s behalf) is a necessary step in the development of the DLP. This risk assessment framework, once implemented, will be utilized to evaluate potential legal exposure and ethical considerations in making content available online, and to develop principles of risk tolerance.

**2019-20 PROJECT DELIVERABLES**

*(List what will be delivered, and when, and describe what success looks like for the project.)*

<b>Deliverables</b>	<b>Date</b>	<b>Measure of success</b>
Re: (1)  Help negotiate and implement a set of transformative open access agreements with scholarly journal publishers (to be done in consultation with CoUL, administrative leaders, and faculty leaders)	Ongoing	Execution of transformative agreements
Re: (1)  Co-develop publishing workflow infrastructure to support UC’s multi-payer model	Ongoing; Cambridge University Press rollout by 31 Jan 2020	Deployment of multi-payer publishing model for Cambridge University Press transformative agreement
Re: (2)  Develop criteria and best practices around local (Berkeley) open access investment opportunities	15 April 2020	Report and recommendations for OA investment criteria, to be subsequently adopted by the Library
Re: (3)  Analyze spectrum of digitization risks and draft memoranda with	28 February 2020	Completion of risk assessment memos, to be approved and adopted by DLP Steering Committee

recommendations to guide DLP risk assessment decision making at scale.		
<p>Re: (3)</p> <p>Further refine risk assessment workflows and begin developing comprehensive explanatory documentation to support implementation of risk assessment workflows.</p>	28 February 2020	Preliminary guidance documentation for staff
<p>Re: (3)</p> <p>Begin developing recordkeeping tools and methodology for implementing DLP risk assessment workflows.</p>	31 January 2020	Approval and adoption by DLP Steering Committee
<p>Re: (3)</p> <p>Provide guidance regarding copyright and information policy matters that arise in DLP-related digitization or takedown requests.</p>	Ongoing	Successful resolution of inquiries answered according to a specified protocol
<p>Re: (3)</p> <p>Provide Library staff training related to these issues as needed.</p>	30 June 2020	